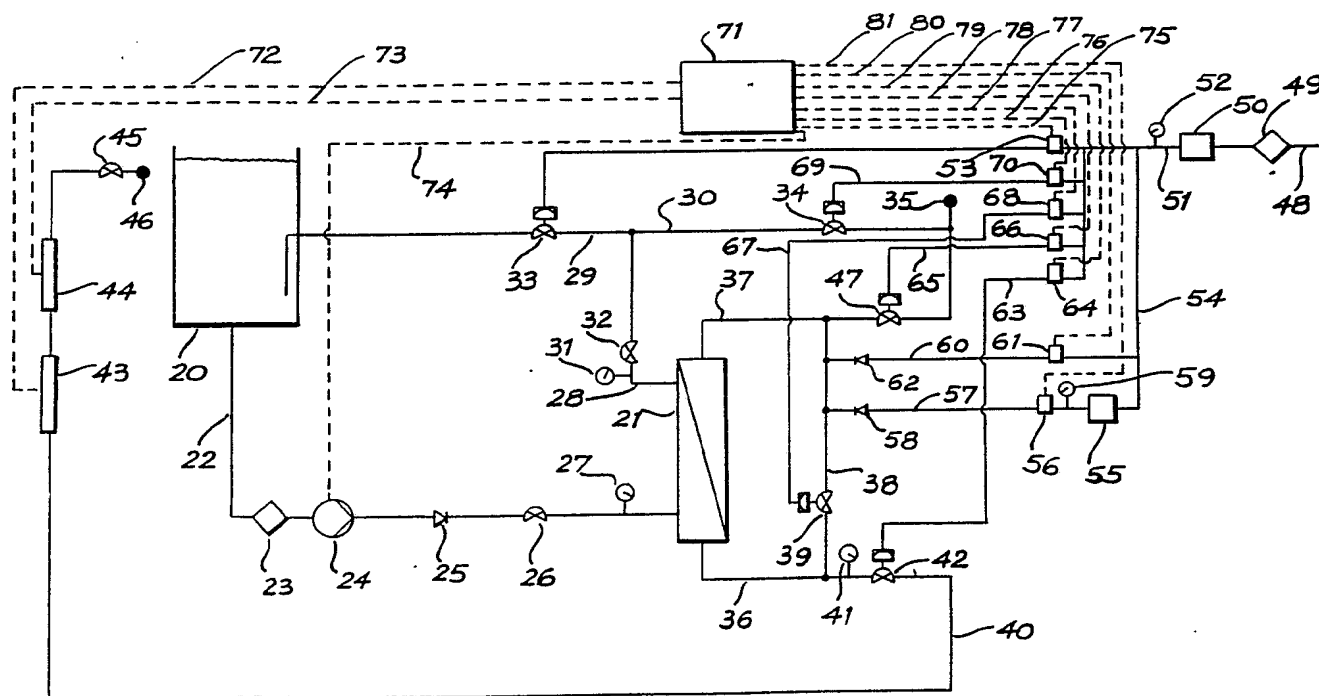




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>4</sup> :</b>  <b>B01D 13/00, 13/01</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 88/ 01529</b>  <b>(43) International Publication Date:</b> 10 March 1988 (10.03.88)
<b>(21) International Application Number:</b> PCT/AU87/00301 <b>(22) International Filing Date:</b> 4 September 1987 (04.09.87)  <b>(31) Priority Application Numbers:</b> PH 7847 PH 9928 <b>(32) Priority Dates:</b> 4 September 1986 (04.09.86) 19 January 1987 (19.01.87)  <b>(33) Priority Country:</b> AU  <b>(71) Applicant (for all designated States except US):</b> MEM- TEC LIMITED [AU/AU]; 60 Macquarie Street, Par- ramatta, NSW 2150 (AU).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> FORD, Douglas, Lyons [AU/AU]; 17 Trelawney Street, Eastwood, NSW 2122 (AU). BARTON, Noel [AU/AU]; 4 Don- caster Avenue, West Pymble, NSW 2073 (AU).		<b>(74) Agents:</b> MAXWELL, Peter, France et al.; Halford & Maxwell, Level 20, 44 Market Street, Sydney, NSW 2000 (AU).  <b>(81) Designated States:</b> AT (European patent), AU, BE (Eu- ropean patent), CH (European patent), DE (Euro- pean patent), DK, FR (European patent), GB (Euro- pean patent), IT (European patent), JP, LU (Euro- pean patent), NL (European patent), NO, SE (Euro- pean patent), US.  <b>Published</b> With international search report.

**(54) Title:** CLEANING OF HOLLOW FIBRE FILTERS**(57) Abstract**

In the cleaning and removal of solids from microporous hollow fibre filters by a series of chemical cleaning cycles, the optimum time and pattern of the cleaning cycles is calculated from the rate of diminution in filtrate flow rate and the time and filtrate lost in each cycle.

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

CLEANING OF HOLLOW FIBRE FILTERSFIELD OF INENTION

This invention relates to the cleaning and removal of solids from microporous hollow fibre filters.

5 BACKGROUND ART

International Patent Applications PCT/AU84/00192 and PCT/AU86/00049 describe methods for backwashing elastic microporous hollow fibre filters. In these filters, a bundle of fibres is contained in a shell or housing and the liquid to be filtered, known as the feedstock, is applied to the outside of the fibres.

During the filtering operation, which may be either to recover clarified liquid or to recover concentrated solids, some of the liquid contained in the feedstock passes through the walls of the fibres and is drawn off from the fibre lumens as filtrate.

Solids contained in the feedstock either pass out of the shell with the remainder of the feedstock carrier stream, or are retained on or in the fibres. These retained solids cause fouling and blockage of the filter.

Industrial practice with the common tube-in-shell microfilters for many years was to apply the feedstock to the inner surface of the fibres by forcing flow through the fibre lumens at such a rate that turbulence scoured the walls of the fibres, retarding blockage by solid material.

In the filter systems described in the above mentioned

International Patent Applications, the feedstock is applied to the outer surface of the fibres, with a penalty of low feedstock flow velocity and low turbulence resulting in a rapid rate of blockage of the pores of the fibres.

5           The blockage of pores is overcome by the application of a backwashing cycle. In the first stage of the cycle, a liquid backwash is applied to the lumens of the fibres such that the liquid passes through the porous walls of the fibres and sweeps retained solids out of substantially all  
10 of the pores in the walls of the fibres. In the second stage, a gaseous backwash is applied to the lumens of the fibres such that the gas passes through the larger pores in the walls of the fibres, stretching them and dislodging retained blocking solids.

15           The application of the backwashing cycle discussed above restores filtrate flux to a high value that is, however, not as high as the initial value. Part of the drop in flux is due to the retention of some solids in the pores of the fibres. With each cycle this slight diminution of  
20 the flux reduces the filtration capacity of the fibres. Eventually chemical cleaning is required which is expensive and time consuming.

25           The need to optimise the frequency of cleaning cycles so as to maximise filtrate flow is discussed in our International Patent Application PCT/AU84/00192, where it is pointed out that it is necessary to maximise the total amount of permeate flow, and minimise the time and permeate

lost in a backwash cycle.

In most cases, the filtration process is characterised by a deposition of a layer of solid material on the filter surface that then acts as a filter surface itself. This layer may be constantly changing and is known in the art as a dynamic membrane. In tube-in-shell filters, the constant change in the layer is, in part, caused by the method of application of the feed, which is to apply it in a direction of flow parallel to the fibres, known as cross-flow filtration. The layer is thus potentially being deposited and swept off at the same time.

The dynamic membrane may be unwanted, or it may be beneficial. There are cases where a filter's rejection of solid material is poor until a sufficient layer of dynamic membrane has built up on the filter to give an acceptable product. The dynamic membrane may be composed of solids from the feed, or it may be primarily composed from added filter aid materials.

When a dynamic membrane is desired, care must be taken to ensure that the backwash cleaning cycle is vigorous enough to dislodge blocking solids, but not so vigorous as to sweep away too much of the dynamic membrane. When too much of the dynamic membrane is swept away by the backwash, the filtrate must be diverted back to the feed tank until sufficient dynamic layer is built up again.

In many selective separation cases it has been found that a series of liquid-only backwashes is adequate, with an

occassional gaseous backwash. The aim in these cases then, is to maximise the volume of filtrate in a given time, while at the same time ensuring that the quality of the filtrate is maintained.

5      DISCLOSURE OF THE INVENTION

According to one aspect of the invention there is provided a method of optimising the cleaning of a hollow fibre filter comprising the steps of:-

- 10      (i)      calculating from the rate of diminution of the filtrate flow rate after each application of a pressurised liquid and/or gaseous backwash cleaning cycle an equation expressing the relationship between filtrate flow and time, and,
- 15      (ii)     allowing for the time lost in each backwash cycle and the amount of filtrate lost in each backwash cycle, and,
- 20      (iii)    calculating from filtrate loss, the time loss and the relationship between filtrate flow rate and time, the optimum time of application of liquid and/or gaseous backwashes.

25      The invention may be modified by optimising the time for chemical cleaning either with or without the backwash optimisation by calculating from the rate of decrease of peak value of filtrate flow after each backwash cycle and the time and amount of filtrate lost in a shut down for chemical cleaning, the optimum time for shut down for

chemical cleaning.

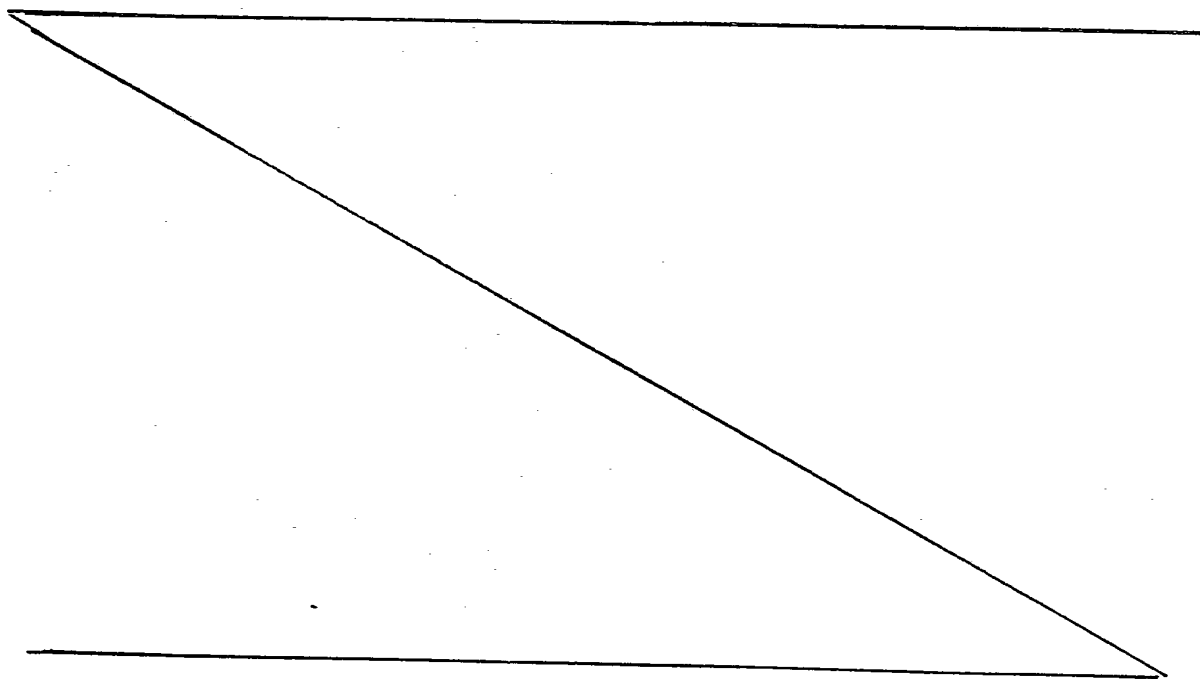
According to another aspect of the invention there is provided a method of operating a microporous hollow fibre filter which employs the optimisation techniques described  
5 above.

The invention also provides apparatus for filtering a feedstock and for automatically calculating and applying the optimal backwash cycles as described above, said apparatus comprising:-

- 10 (i) means for applying a feedstock to be filtered to  
the outside surface of porous hollow fibres contained in a shell or housing, and for withdrawing clarified liquid or filtrate from  
15 the lumens of the fibres,
- (ii) means for applying through the fibre lumens liquid and gaseous backwashes that pass through the walls of the fibres,
- (iii) means for measuring the rate of decrease in  
20 filtrate flow rate, or flux,
- (iv) means for calculating the optimal backwash frequency taking account of the loss of filtrate and time involved in a backwash cycle, and,
- 25 (v) means for applying the calculated optimal backwash frequency to the fibres in the filter.

The optimising method of the invention may be employed to maintain a dynamic membrane over the surfaces of the fibres. This can be achieved by allowing the filtrate to be returned to the feedstock until the dynamic membrane has built up on the fibres which will be evidenced by the quality of the filtrate. After each liquid and/or gaseous backwash step, the filtrate is returned to the feedstock until the dynamic layer has again been built up on the filters.

According to another aspect of the invention there is provided a method of cleaning a hollow fibre filter in which the fibres are subjected to a series of cleaning cycles characterised in that the cleaning cycles are applied when the integrated filtrate flux over time since the last cycle equals the instantaneous filtrate flux multiplied by the sum of the period of time elapsed since the last cleaning cycle plus the time taken for the cleaning cycle. The





invention also embraces apparatus for carrying out this aspect of the invention.

This relationship may be expressed mathematically as

$$\int_0^T v(t) \cdot dt = (T + T_c) \cdot v(T) \quad (I)$$

5 where  $t$  = time

$T$  = a point in time measured from the last cleaning cycle,

$T_c$  = time taken to apply a backwash or cleaning cycle,

10  $v(t)$  = flow rate of filtrate at any point in time  $t$ ,

$v(T)$  = flow rate of filtrate at the point in time  $T$

The derivation of this relationship is as follows:

15 The aim is to operate the filter at maximum average production rate, APR. APR is given by the expression

$$APR = \frac{1}{T + T_c} \int_0^T v(t) \cdot dt$$

By choosing the time  $T$  at which  $d(APR)/dT = 0$  we get

$$20 \quad - \frac{1}{(T + T_c)^2} \int_0^T v(t) \cdot dt + \frac{v(T)}{T + T_c} = 0$$

or

$$\int_0^T v(t) \cdot dt = (T + T_c) \cdot v(T)$$

Note that the condition may be written

$$25 \quad \int_0^T \{v(t) - v(T)\} dt = T_c \cdot v(T)$$

and it follows that the condition may never be satisfied if  $T_c$  is sufficiently large.

The invention also provides apparatus for application of the above method comprising:-

5 (i) means for applying a feedstock to be filtered to the outside surface of porous hollow fibres contained in a shell or housing and for withdrawing filtrate from the lumens of the fibres,

10 (ii) means for applying through the fibre lumens liquid and gaseous backwashes that pass through the walls of the fibres,

(iii) means for measuring the volume of filtrate over a period of time,

15 (iv) means for determining the instantaneous filtrate flow rate at any point in time,

(v) means for determining when the volume of filtrate over a period of time equals the instantaneous filtrate flow rate multiplied by the period of time that has elapsed added to a set period of time.

20 The means for measuring the volume of filtrate over a period of time may conveniently be an integrating flowmeter or a programmable controller.

25 The invention also includes methods and apparatus that use the techniques already described but also allow for costs such as wear and tear induced by a backwash or cost of air in the backwash. Such methods and apparatus typically add a set period of time to the optimum calculated by the

described methods, or increase the time by a factor.

#### BRIEF DESCRIPTION OF THE DRAWINGS

In order that the invention may be more readily understood and put into practical effect, reference will now be made to the accompanying drawings in which:-

Fig. 1 is a schematic diagram of a hollow fibre filter system according to one embodiment of the invention,

Fig. 2 is a graph of filtrate flux as a percent of maximum against time according to the theory of the present invention and showing data for one set of test results of Example 1,

Fig. 3 is a further graph of filtrate flux as a percent of maximum against time according to the theory of the present invention and showing data for another set of test results of Example 1,

Fig. 4 is a graph of permeate flux against time over several backwash cycles for a filter system similar to that shown in Fig. 1 as detailed in Example 2, and,

Figs. 5 to 12 are graphs similar to Figs. 2 and 3 for the data of runs 1 to 8 of Example 3.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

In the filter system shown in Fig. 1, a feed tank 20 is connected to the feed side of a filter unit 21 by feed line 22. Feed line 22 has a strainer 23, a feed pump 24, a check valve 25, a manual valve 26 and a pressure gauge 27.

-10-

The filter unit 21 includes a cartridge or shell within which is positioned a bundle of hollow porous, polymeric fibres. In this instance, each fibre is made of polypropylene, has an average pore size of 0.2 micron, a wall thickness of 200 micron and a lumen diameter of 200 micron. There are 3,000 hollow fibres in the bundle but this number as well as the individual fibre dimensions may be varied according to operational requirements. A bank of such cartridges may be used.

A concentrate discharge line 28 extends from the feed side of the hollow fibres within the filter unit 21 to the junction of concentrate return line 29 and concentrate discharge line 30. The concentrate discharge line 28 has a pressure gauge 31 and a manual valve 32.

Concentrate return line 29 delivers the concentrate through a gas-operated valve 33 to the feed tank 20. Concentrate discharge line 30 delivers concentrate through a gas-operated valve 34 to a drain discharge outlet 35.

Permeate from the lower ends of the fibre lumens is drawn off through permeate line 36 and permeate from the upper ends of the fibre lumens is drawn off through permeate line 37. Permeate lines 36 and 37 are connected by line 38 which has a gas-operated valve 39. Main permeate line 40 which has a pressure gauge 41, a gas-operated valve 42, a filtrate quality detector 43, a flowmeter 44 and a manual valve 45 delivers filtrate to filtrate discharge outlet 46.

The permeate line 37 is also connected to the drain

-11-

discharge outlet 35 through a gas-operated valve 47.

The filtrate quality detector 43 may be of any convenient kind such as a turbidimeter. The flowmeter 44 may also be of convenient kind such as an integrating  
5 flowmeter.

Pressurised gas, such as air, is supplied through line 48 to an air filter 49 and pressure regulator 50 to air supply line 51. The air supply line 51, which has a pressure gauge 52 is controlled by solenoid-operated valve  
10 53 to provide pressurised air to the gas-operated valve 33.

Pressurised air is also delivered through line 54 to a pressure regulator 55 and solenoid operated valve 56 through line 57 and check valve 58 to permeate connection line 38. There is a pressure gauge 59 in air line 57. An air by-pass  
15 line 60 leads from air line 54 to permeate connection line 38 through a solenoid operated valve 61 and check valve 62.

A further air line 63 connects the air supply line 51 to gas-operated valve 42 in the main permeate line 40. The  
20 valve 42 is controlled by solenoid-operated valve 64 in air line 63. Air line 65 which is connected between the air supply line 51 and the gas-operated valve 47 is controlled by solenoid-operated valve 66. Air line 67 which is connected between the air supply line 51 and gas-operated  
25 valve 39 is controlled by solenoid-operated valve 68. Airline 69 which is connected between the air supply line 51 and gas-operated valve 34 is controlled by solenoid-operated

-12-

valve 70.

A programmable controller 71 receives inputs from the quality meter 43 and the flowmeter 44 through lines 72 and 73 respectively. Line 74 connects the controller 71 to the feed pump 24.

Lines 75, 76, 77, 78, 79, 80 and 81 are outputs from the programmable controller 71 to solenoid-operated valves 53, 70, 68, 66, 64, 61 and 56 respectively. Thus, the gas-operated valves 33, 34, 39, 42 and 47 are controlled by the programmable controller 71 as are the gas inlet solenoid-operated valves 56 and 61 and the feed pump 24.

In filtration mode, feed from tank 20 enters filtration unit 21 through line 22. Valves 33, 39 and 42 are open and valves 34 and 47 are closed. Air supply valves 56 and 61 are also closed and feed pump 24 is on. Feed recirculation or concentrate (that is feed less filtrate) leaves the filter unit 21 through lines 28 and 29 and returns to the feed tank 20. Clarified liquid or filtrate leaves the top of the filter unit 21 through permeate line 37 and flows through line 38 to join filtrate leaving the bottom of the filter unit 21 through permeate line 36. The filtrate leaves the system via discharge outlet 46 after passing through the quality meter 43 and flowmeter 44. Manual valves 26, 32 and 45 are manipulated to give the desired line pressures.

The programmable controller 71 is continuously supplied with information as to the quality and quantity of the

-13-

filtrate stream (as a function of time) by the flowmeter 44 and quality meter 43. Within the programmable controller 71 there is an optimisation routine which repeatedly maximises the filtrate flow rate within quality constraints, taking  
5 account of the time and filtrate lost in a backwash cycle. In effect, the programmable controller 71 evaluates the optimum time between gaseous or liquid backwashes, and also between chemical cleans which follow a series of backwashes. The programmable controller 71 effects the  
10 gaseous or liquid backwash by manipulation of valves 33, 39, 42, 47 and 34, air supply valves 56 and 61 and the feed pump 24.

When a dynamic layer or membrane is desired, filtrate is diverted and returned to the feed until filtrate quality  
15 is acceptable. Filtration is then commenced with filtrate collected as described above. After a backwash cycle this procedure is repeated if necessary. The loss of time and filtrate is again taken into account in optimising the choice of time for backwash.

20 In the preferred embodiment of the invention, as filtration is occurring, the programmable controller repeatedly calculates an equation representing the relationship between filtrate flowrate and time, and from this and stored information on the loss of time and filtrate  
25 in backwashing; calculates the optimum time for backwash. This procedure is constantly repeated with consequent refinement of the estimated time to apply a backwash until

the point where backwash is to be applied is reached. After the backwash the procedure is repeated for the new cycle. The procedure is made simpler if an integrating flowmeter is used.

5 In another preferred embodiment, the programmable controller calculates integrated flux since the last backwash and applies a backwash when the integrated flux equals the instantaneous flux multiplied by the sum of the period of time elapsed since the last backwash plus the time  
10 taken for a backwash cycle.

The following examples illustrate the technique of the invention. In examples 1 to 4, the equation expressing the relationship between flow of filtrate, time and rate of decrease of peak filtrate flow after each liquid or gaseous  
15 backwash step was chosen to be of the form:

$$Y = \frac{100 - C}{(1 + MT^N)} + C \quad (II)$$

where Y represents filtrate flux or flow rate

20 T represents time

M is a constant

N is a constant

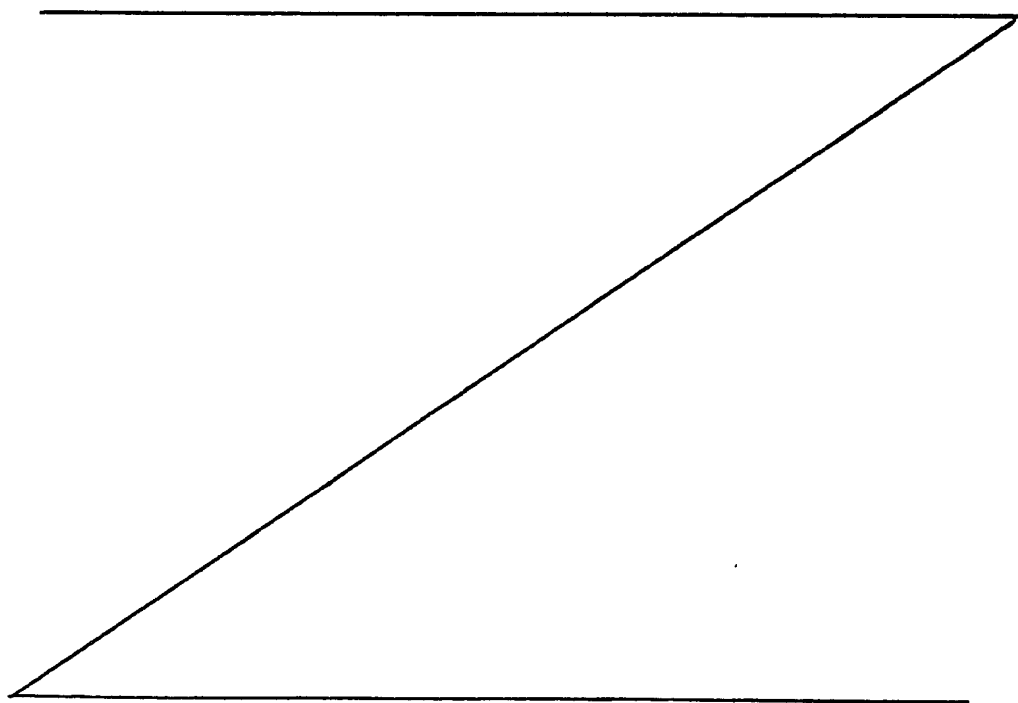
C is a constant representing the flux after a long period of time



## EXAMPLE 1

An 80 litre sample containing approximately 3.8g/l of diatomaceous earth suspended in water was filtered by continuous recycle through a filter system similar to that shown in Fig. 1 but without the programmable controller. The system contained a single tube-in-shell cartridge containing a bundle of hollow porous fibres equivalent to approximately one square meter of filtration surface. All feed, filtrate and backwashed solids were returned to the feed tank.

Two ten minute runs were conducted in which filtrate flux rate, concentration of feed, and transmembrane pressure were measured every minute. After the apparatus had settled down, the following results were recorded (the transmembrane pressure remained substantially constant):



-16-

TABLE I - RUN 1

TIME (min)	CONCENTRATION (gm/litre)	FLUX (litres/hr)	% OF MAX. FLUX
0	3.71	990	100
1	3.54	930	93.9
2	3.41	900	90.0
3	3.29	880	88.9
4	3.26	875	88.4
5	3.14	850	85.9
6	3.09	835	84.3
7	3.11	825	83.3
8	3.00	820	82.8
9	2.97	800	80.0
10	2.94	795	80.3

TABLE I - RUN 2

TIME (min)	CONCENTRATION (gm/litre)	FLUX (litres/hr)	% OF MAX FLUX
0	3.59	1050	100
1	3.51	1000	95.2
2	3.38	975	92.9
3	3.36	940	89.5
4	3.32	925	88.2
5	3.26	900	85.7
6	3.21	880	83.8
7	3.18	875	83.3
8	3.21	865	82.4
9	3.17	855	81.4
10	3.15	850	80.9

In Run 1, the filtrate flow rate or flow decreased from 990 l/hr to 795 l/hr over a ten minute period and in Run 2 the flow rate decreased from 1050 l/hr to 850 l/hr over a similar period.

5 An equation (II) was fitted to the results and graphed as shown in Figs. 2 and 3, which refer to Runs 1 and 2 respectively.

The method of fitting the theoretical curve of equation (II) to the results of Table 1 and the derivation of the  
10 constants C, M and N employed a least squares curvefit computer programme.

The constants in the equation II used to generate the theoretical curves, and the sum of squares were as follows (the standard deviations are given in brackets):

15

	RUN 1	RUN 2
C	71.959(1.84)	69.119(1.34)
M	12.886(1.95)	10.048(0.90)
N	1.0	1.0
Sum Squares	4.93	1.52

20 When a correction was made for the rapidly altering concentration (which, in this case, is a result of the limited experimental design), there was very little difference in the degree of fit of theoretical curves to the data of Table I.

25 The concentration correction was made as follows:

Concentration as a percentage of initial contraction was calculated ( $K/K_o \%$ )

These values were fitted to the equation

$$5 \quad K/K_o \% = \left[ \frac{(100 - k)}{(1 + jt^h)} + k \right] \quad \text{III}$$

and the constants  $k, j$  and  $h$  evaluated.

For Run 1 they were 61.3, 5.88 and 0.91

For Run 2 they were 84.0, 27.86 and 1.216

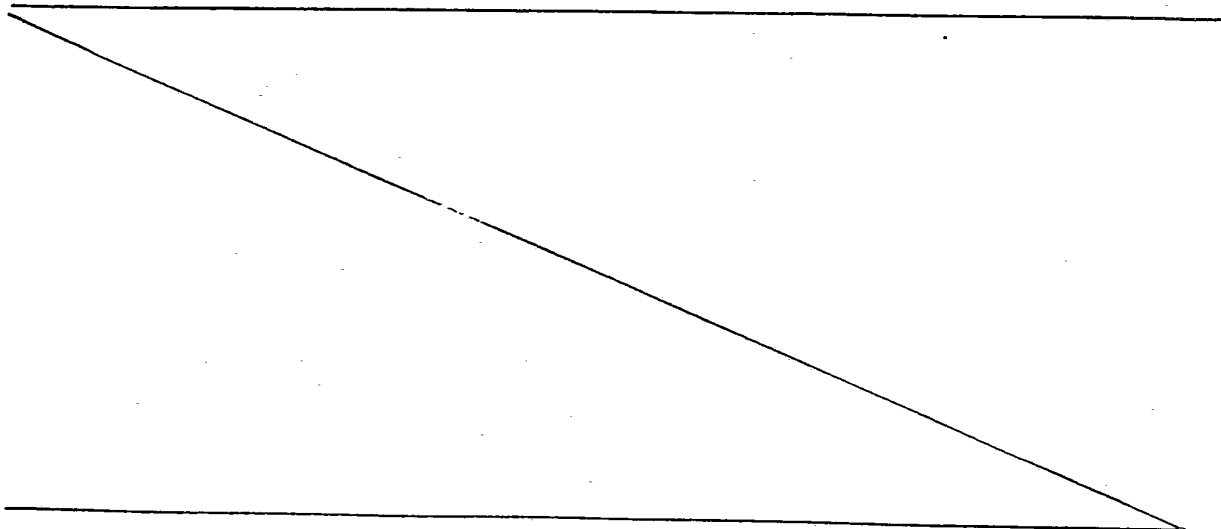
10 The equation for  $K/K_o$  (equation III) with the calculated values of  $k, j$  and  $h$  inserted, was then inserted into the equation

$$\text{Filtrate flux} = \frac{100 - C}{(1 + M (K/K_o)t^N)} + C$$

15 and a curvefit run. The values of  $M, N$  and  $C$  given by this method were very little different from the values if no  $K/K_o$  correction was made.

An optimum time for air backwash was then calculated, assuming in this case that the time lost in backwash was 30  
20 seconds. The optimum time in each run was 7 minutes.

The Basic programme for this calculation was as follows:



-19-

PROGRAMME A

```

10  LPRINT "OPTIMISATION OF GASEOUS BACKWASH INTERVAL
    TIME"
20  INPUT "RUN NUMBER";R
5   30  INPUT "M(HOUR UNITS),C(% OF INITIAL RATE)";M,C
    40  LPRINT"RUN NUMBER"; R;"M=";M;"C=";C
    50  LPRINT "TIME (HOURS);OPTIMISED OUTPUT(LITRES/HR)"
    60  FOR T=0 TO 1/3 STEP 1/60
    70  A=(100-C)*LOG(1+M*T)/M+C*T
10  80  P=A/(T+1/120)
    90  LPRINT T,P
    100 NEXT T

```

Note that line 70 is equivalent to

$$A = [(100-C) \cdot \log(1+M \cdot T) / M] + C \cdot T$$

15 In line 70, A equals Integrated flux at time T since

$$A = \int \frac{dt}{1+Mt} = \frac{1}{M} \log(1+Mt)$$

In line 80, 1/120 is equivalent to a 30 second backwash  
 20 expressed in hours, so P equals integrated flux divided by  
 the sum of time elapsed since the last backwash cycle and  
 cleaning time. Thus, P is an average production rate  
 allowing for volume of filtrate lost during backwash,  
 although this volume is not calculated specifically. Line  
 25 90 shows the optimum time as the point where P is a maximum.

Example 1 may also be worked by using equation (I).

The basic programme for this is as follows:-

PROGRAMME B

```

10  LPRINT "OPTIMISATION OF GASEOUS BACKWASH INTERVAL TIME"
20  INPUT "RUN NUMBER";R
5   30  INPUT "M (HOUR UNITS), C(% OF INITIAL RATE)";M,C
40  LPRINT "RUN NUMBER";R;"M=";"C=";C
50  LPRINT "TIME (HOURS) FOR OPTIMISED OUTPUT"
60  FOR T=1 TO 1/3 STEP 1/60
70  A=((100-C) *LOG(1+M*T))/M)+C*T
10  80  B=((((100-C)/(1+M*T))+C)*(T+1/120)
90  P=A-B
100 LPRINT T,P
110 NEXT T

```

A is the integrated flux at time T and B is the instantaneous flux at time T multiplied by the sum of the time elapsed since last backwash plus the time to clean. With this approach, P is defined as equalling the integrated flux at time T (A) minus the instantaneous flux (B) so the optimum time is when P is a minimum. In Example 1 this time is 7 minutes.

EXAMPLE 2

50 g bentonite and 50 g diatomaceous earth were suspended in 20 litres of water and applied to a filter system similar to the one used in the previous Example. Air backwash was applied every 10 minutes and the flux plotted in Fig. 4. After each backwash the value to which the flux

-21-

returned is shown in Table II.

TABLE II

TIME AT BACKWASH (minutes)	FLUX (litre/hr)
0	560
10	510
20	450
30	460
40	450
50	480
60	450

As can be seen in Table II, the peak value of filtrate flow after each backwash cycle decreased from 560 l/hr to 450 l/hr over the six backwash cycles.

Equation II above was used to generate a curve which connected the peak flow rate points, the constants were:

C	70.0
M	2.0
N	1.0

An optimum time for chemical cleaning was then calculated assuming that the time lost in chemical cleaning would be 1 hour.

The calculation of the optimum time for chemical cleaning is the same as for Example 1 and uses the same Basic programme but with line 60 modified to calculate the average production rate (P) over the time range 130 to 160 hours in steps of 1 hours, thus:

-22-

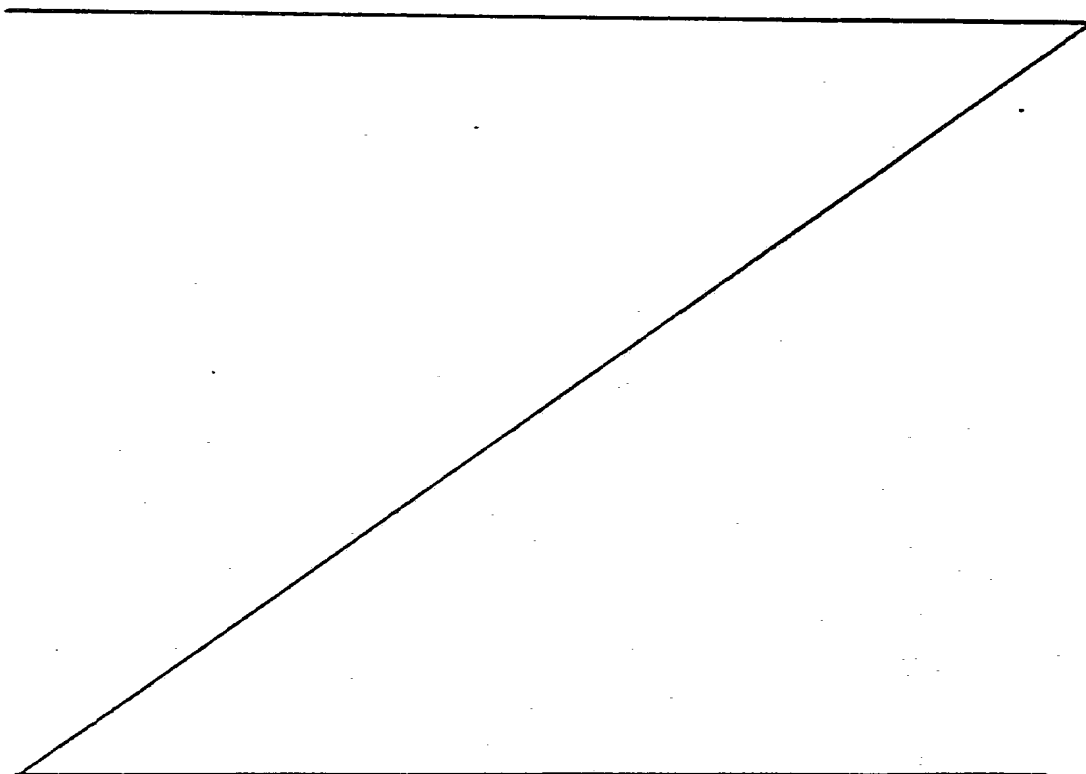
60 FOR T=130 TO 160 STEP 1

and with line 80 modified to reflect the one hour cleaning  
time, thus:

$$80 \quad P=A/ (T+1)$$

## 5      EXAMPLE 3

199.8 g freshly precipitated ferric hydroxide were  
suspended in 20 l water and filtered by continuous recycle  
through a filter system similar to that shown in Fig. 1  
without the controller. The temperature was maintained at  
10       $25 \pm 2^{\circ}\text{C}$ . The inlet pressure was 200 KPa(g), the outlet  
pressure was 100 KPa(g), and the filtrate pressure varied  
from 0 to 45 KPa(g). The filtrate flux for eight runs is  
shown in Table III:





-23-

TABLE III

	Time mins	Flux l/hr	% of max flux	Time mins	Flux l/hr	% of max flux
5	Run 1			Run 5		
	0	740	100.0	0	760	100.0
	1	595	80.4	1	645	84.2
	2	525	70.9	2	575	71.7
	3	475	64.2	3	515	62.5
	4	425	57.4	4	475	53.8
	5	400	54.1	5	445	49.5
	6	375	50.7	6	420	45.7
	7	350	47.3	7	400	42.4
	8	330	44.6	8	385	40.8
	9	310	41.9	9	375	38.6
15	10	295	39.9	10	360	37.0
	Backwash			Backwash		
20	Run 2			Run 6		
	0	760	100.0	0	815	100.0
	1	615	80.9	1	690	84.7
	2	530	69.7	2	600	73.6
	3	475	62.5	3	540	66.3
	4	420	55.3	4	490	60.1
	5	385	50.7	5	465	57.1
	6	370	48.7	6	440	54.0
	7	350	46.1	7	410	50.3
	8	330	43.4	8	390	47.9
	9	315	41.4	9	375	46.0
25	10	300	39.5	10	345	42.3
	Backwash			Backwash		
30	Run 3			Run 7		
	0	750	100.0	0	810	100.0
	1	630	84.0	1	695	85.8
	2	550	73.3	2	605	74.7
	3	495	66.0	3	540	66.7
	4	450	60.0	4	500	61.7
	5	430	57.3	5	460	56.8
	6	400	53.3	6	440	54.3
	7	390	52.0	7	415	51.2
	8	365	48.7	8	395	48.8
	9	350	46.7	9	380	46.9
40	10	330	44.0	10	360	44.4
	Backwash			Backwash		

-24-

Time mins	Flux l/hr	% of max flux	Time mins	Flux l/hr	% of max flux
Run 4			Run 8		
0	760	100.0	0	830	100.0
1	645	84.9	1	700	84.3
2	580	76.3	2	600	72.3
3	525	69.1	3	540	65.1
4	485	63.8	4	495	59.6
5	460	60.5	5	460	55.4
6	430	56.6	6	435	52.4
7	410	53.9	7	410	49.4
8	395	52.0	8	390	47.0
9	375	49.3	9	375	45.2
10	360	47.4	10	360	43.4
Backwash			End		

An equation (II) was fitted to the results and graphed as shown in Figures 5 to 12, where Figure 5 corresponds to Run 1, Figure 6 to Run 2, and so on up to Figure 12 which corresponds to Run 8.

The constants used to generate the curves are as shown in the following Table where the standard deviations are given in brackets. N was very near to 1 in each case and so was taken as 1 in later calculations.

Run No.	M	C	Sum of Squares Residual
1	17.0 (1.0)	20.0 (1.8)	8.39
2	18.6 (0.6)	20.4 (1.0)	2.75
3	16.4 (0.7)	25.0 (1.3)	3.95
4	14.4 (0.5)	26.2 (1.0)	1.91
5	15.6 (0.4)	27.1 (0.7)	0.94
6	14.5 (0.7)	20.6 (1.7)	5.03
7	14.3 (0.5)	21.6 (1.1)	2.06
8	16.7 (0.6)	23.3 (1.0)	2.24

Calculation of the optimum time for backwash for each of the runs (assuming the time lost in backwash was 30 seconds and taking account of filtrate lost using programmes A and B gave the following results:-

RUN	OPTIMUM TIME	
	PROGRAMME B	PROGRAMME A
1	2.5	2.5
2	2.5	2.5
3	2.5	3
4	2.5	3
5	2.5	3
6	2.5	2.5
7	2.5	3
8	2.5	2.5

#### EXAMPLE 4

A suspension of 50 g diatomite and 50 g bentonite in 20 l water was filtered as in Example 3. The temperature was maintained at  $25 \pm 2^{\circ}\text{C}$ . The inlet pressure was 200 KPa(g), the outlet pressure was 100 KPa(g), and the filtrate pressure varied between 10 and 50 KPa(g). The filtrate flux for twelve runs is shown in Table IV.

TABLE IV

Time mins	Flux l/hr	% of max flux	Time mins	Flux l/hr	% of max flux
Run 1			Run 2		
0	850	100.0	0	840	100.0
1	710	80.7	1	695	83.1
2	630	69.9	2	600	72.3
3	550	63.3	3	545	65.1
4	510	59.0	4	505	60.8
5	480	55.4	5	480	57.8
6	460	53.6	6	460	55.4
7	445	51.8	7	445	53.6
8	435	50.6	8	430	51.8
9	425	49.4	9	425	51.2
10	420	48.2	10	420	50.6
Backwash			Backwash		
Run 3			Run 4		
0	830	100.0	0	830	100.0
1	670	80.7	1	690	83.1
2	580	69.9	2	600	72.3
3	525	63.3	3	540	65.1
4	490	59.0	4	505	60.8
5	460	55.4	5	480	57.8
6	445	53.6	6	460	55.4
7	430	51.8	7	445	53.4
8	420	50.6	8	430	51.8
9	410	49.4	9	425	51.2
10	400	48.2	10	420	50.6
Backwash			Backwash		
Run 5			Run 6		
0	850	100.0	0	800	100.0
1	695	81.8	1	670	83.8
2	610	71.8	2	580	72.5
3	550	64.7	3	520	65.0
4	510	60.0	4	490	61.3
5	485	57.1	5	460	57.5
6	465	54.7	6	445	55.6
7	450	52.9	7	430	53.8
8	440	51.8	8	420	52.5
9	430	50.6	9	410	51.3
10	425	50.0	10	405	50.6
Backwash			Backwash		

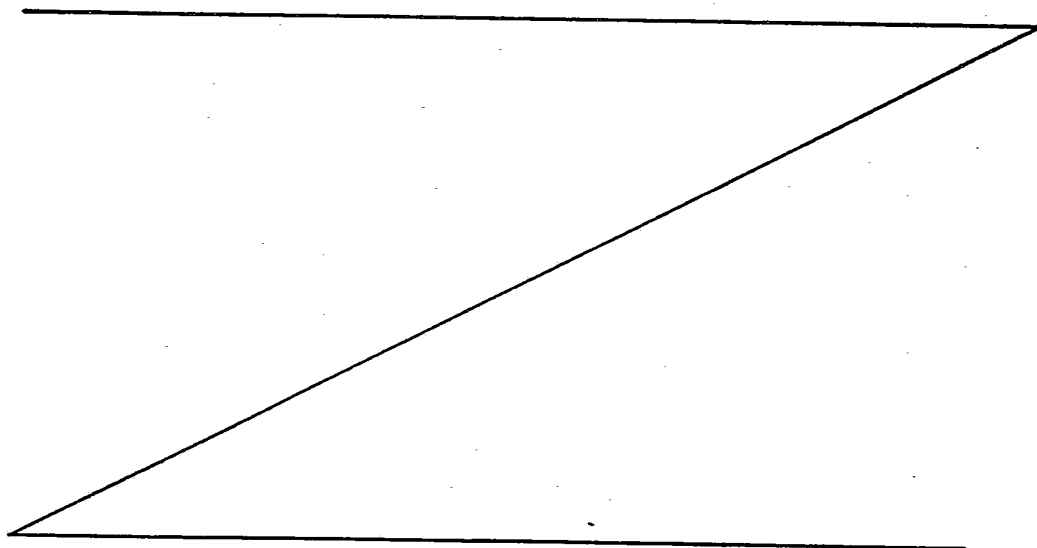
-27-

	Time mins	Flux l/hr	% of max flux	Time mins	Flux l/hr	% of max flux
	Run 7			Run 8		
5	0	780	100.0	0	750	100.0
	1	670	85.9	1	650	86.7
	2	575	73.7	2	565	75.3
	3	520	66.7	3	520	69.3
	4	480	61.5	4	480	64.0
10	5	460	59.0	5	455	60.7
	6	445	57.1	6	440	58.7
	7	430	55.1	7	430	57.3
	8	425	54.5	8	420	56.0
	9	415	53.2	9	410	54.7
15	10	410	52.6	10	405	54.0
	Backwash			Backwash		
	Run 9			Run 10		
20	0	850	100.0	0	820	100.0
	1	680	80.0	1	670	81.7
	2	585	68.8	2	575	70.1
	3	530	62.4	3	520	63.4
	4	490	57.6	4	480	58.5
	5	470	55.3	5	460	56.1
	6	450	52.9	6	440	53.7
25	7	430	50.6	7	430	52.4
	8	420	49.4	8	415	50.6
	9	410	48.2	9	405	49.4
	10	400	47.1	10	400	48.8
	Backwash			Backwash		
30	Run 11			Run 12		
	0	800	100.0	0	800	100.0
	1	650	81.3	1	650	81.3
	2	565	70.6	2	560	70.0
	3	500	62.5	3	500	62.5
	4	470	58.8	4	480	60.0
35	5	450	56.3	5	445	55.6
	6	435	54.4	6	430	53.8
	7	420	52.5	7	420	52.5
	8	410	51.3	8	410	51.3
	9	400	50.0	9	400	50.0
40	10	395	49.4	10	395	49.4
	Backwash			End		

The constants generated as in earlier Examples are as shown in the following Table where the standard deviations are given in brackets:

Run No.	M	C	N	Sum of Squares Residual
1	65.1 (20.2)	41.5 (1.7)	1.27 (0.08)	3.560
2	52.9 (5.7)	41.8 (0.6)	1.18 (0.03)	0.387
3	39.9 (3.7)	39.3 (0.6)	1.09 (0.02)	0.273
4	53.4 (5.9)	42.5 (0.6)	1.19 (0.03)	0.408
5	43.6 (5.9)	41.1 (0.8)	1.12 (0.03)	0.589
6	66.9 (7.8)	43.7 (0.6)	1.25 (0.03)	0.460
7	137.3 (16.8)	48.1 (0.4)	1.44 (0.03)	0.482
8	82.7 (12.6)	47.9 (0.7)	1.34 (0.04)	0.716
9	35.1 (4.5)	37.4 (0.9)	1.05 (0.03)	0.541
10	58.3 (7.1)	41.5 (0.6)	1.18 (0.03)	0.493
11	65.6 (13.3)	48.9 (1.0)	1.20 (0.05)	1.323
12	62.3 (15.2)	42.6 (1.2)	1.19 (0.06)	1.908

The optimum time for backwash (assuming the time lost in a backwash was 30 seconds and taking account of the amount of filtrate lost) was calculated using the following programme:-



PROGRAMME C

```

10  LPRINT "OPTIMISATION OF GASEOUS BACKWASH INTERVAL TIME"
20  INPUT "RUN NUMBER";R
30  INPUT "M(HOUR UNITS), C(% OF INITIAL RATE), N";M,C,N
5   40  LPRINT "RUN NUMBER";R;"M=";M;"C=";C;"N=";N
    50  LPRINT "TIME (HOURS) FOR OPTIMISED OUTPUT"
    60  Y=0
    70  FOR T=0 TO 1/3 STEP 1/720
    80  A=((100-C)/(1+(M*(T N))))+C
10  90  B=((100-C)/(1+M*((T+1/720) N)))+C
    100 Y=Y+((A+B)/1440)
    110 P=Y/(T+1/120)
    120 IF (720*T).MOD (120*T) = 0 THEN 130 ELSE 140
    130 LPRINT T,P
15  140 NEXT T

```

The optimum time for backwash for each run is shown in Table V.

TABLE V

RUN	OPTIMUM TIME (minutes)	RUN	OPTIMUM TIME (minutes)
1	2	7	2
2	2	8	2.25
3	2	9	2
4	2	10	2
5	2	11	2.5
6	2	12	2

## EXAMPLE 5

1300 g  $\text{TiO}_2$  suspended in 30 l water at  $50^\circ\text{C}$  were filtered using an apparatus similar to that in Figure 1 where the programmable controller calculated when integrated flux equalled instantaneous flux multiplied by the sum of the period of time elapsed since the last backwash plus the time taken for a cleaning backwash cycle. In this case the backwash cycle took one minute. The feed inlet pressure was 200 kPa, the feed outlet 90kPa, and the filtrate backpressure was zero.

The filtration was then performed using the optimum time and then times greater than and less than the optimum time as follows:-

- (i) the controller selected an optimum time between backwashes of approximately 80 seconds. The average production rate was 423 l/hr.
- (ii) the filtration was performed with a 6 minute (manually set) interval between backwashes. The average production rate was 271 l/hr.
- (iii) the filtration was performed with a 25 second (manually set) interval between backwashes. The average production rate was 279 l/hr.

It can be seen from this Example that where flux falls off rapidly, the correct timing between backwashes can make a large difference to the efficiency of the filtration.

## EXAMPLE 6

300 g  $\text{Ca(OH)}_2$  in 30 l water at  $50^\circ\text{C}$  were filtered in an



-31-

experiment similar to Example 5. The feed inlet pressure was 150 kPa, the feed outlet 90 kPa, and the filtrate backpressure zero. Time lost for backwash was 1 minute.

The filtration was performed using the optimum time and then using times greater and less than the optimum time as follows:-

(i) the controller selected an optimum time between backwashes of approximately 5 minutes. The average production rate was 1097 l/hr.

10 (ii) the filtration was performed with a 10 minute (manually set) interval between backwashes. The average production rate was 1056 l/hr.

(iii) the filtration was performed with a 100 second (manually set) interval between backwashes. The  
15 average production rate was 946 l/hr.

Various modifications may be made in details of design, construction and mode of operation without departing from the scope and ambit of the invention. For example, the methods and apparatus of the invention may take account of other  
20 factors such as the cost of the cleaning gas and wear and tear on the filter unit. Thus, the optimum time for backwashing to achieve maximum average flux may be extended to take account of such factors.

## CLAIMS

1. A method of cleaning a hollow fibre filter in which the fibres are subjected to a series chemical cleaning cycles characterised in that the cleaning cycles are applied when the integrated filtrate flux over time since the last cleaning cycle equals the instantaneous filtrate flux multiplied by the sum of the period of time elapsed since the last cleaning cycle plus the time taken for the cleaning cycle.
2. A method according to claim 1 wherein the cleaning cycle is a liquid and/or gaseous backwash.
3. A method according to claim 1 wherein the cleaning cycle is a pressurised liquid and gas cleaning and wherein the pressurised backwash cycle is effected by applying a pressurised liquid through the fibre lumens which passes through substantially all the pores whereby substantially all the pores are stretched to wash out retained solids followed by a gaseous backwash in which a pressurised gas is passed through the larger pores to stretch those pores to dislodge any solids retained in those pores.
4. A method of optimising the cleaning of a hollow fibre filter after filtration of a feedstock comprising the steps of:-
  - (i) calculating from the rate of diminution of the filtrate flow rate after each application of a

- pressurised liquid and/or gaseous backwash cleaning cycle an equation expressing the relationship between filtrate flow and time,
- (ii) allowing for the time lost in each backwash cycle and the amount of filtrate lost in each backwash cycle, and,
  - (iii) calculating from the filtrate loss, the time loss and the relationship between filtrate flow rate and time, the optimum time of application of liquid and/or gaseous backwashes.

5. A method according to claim 4 and further including the step of calculating from the rate of decrease of peak value of filtrate flow after each backwash cycle and the amount of time and filtrate lost in a shut down for chemical cleaning, the optimum time for shut down for chemical cleaning.

6. A method according to either claim 4 or claim 5 and including the step of returning the filtrate to the feedstock after each liquid and/or gaseous backwash until a dynamic layer has been built up on the fibres.

7. A method according to claim 4 wherein the relationship between the filtrate flow rate (Y) and time (T) is given by the formula:-

$$Y = \frac{100 - C}{(1+MT^N)} + C$$

where:

M is a constant

N is a constant

C is a constant representing the flux after  
a long period of time

8. A method of optimising the chemical cleaning of a hollow fibre filter used for filtration of a feedstock after a series of liquid and/or gaseous backwashes including the step of calculating from the rate of decrease of peak value of filtrate flow after each backwash cycle and the time lost and amount of filtrate lost in a shut down for chemical cleaning, the optimum time for shut down for chemical cleaning.

9. A method according to any one of claims 4 to 8 wherein the pressurised backwash cycle is effected by applying a pressurised liquid through the fibre lumens which passes through substantially all the pores whereby substantially all the pores are stretched to wash out retained solids followed by a gaseous backwash in which a pressurised gas is passed through the larger pores to stretch those pores to dislodge any solids retained in those pores.

10. A method of operating a microporous hollow fibre filter comprising the steps of:

(i) applying a liquid feestock to be filtered to the outer surface of the filters whereby:-

(a) some of the liquid passes through the

walls of the fibres to be drawn off as clarified liquid or filtrate from the fibre lumens,

(b) at least some of the solids are retained on or in the fibres with the non-retained solids being removed from the filter with the remainder of the liquid

- (ii) discharging the retained solids by applying a pressurised liquid and/or gas backwash,
- (iii) calculating from the rate of diminution of flow of filtrate after each application of pressurised liquid and/or gaseous backwash an equation expressing the relationship between filtrate flow and time, and,
- (iv) allowing for the time lost in each backwash cycle and the amount of filtrate lost in each backwash cycle,
- (v) calculating from the filtrate loss, the time loss and the the relationship between filtrate flow and time, the optimum time and pattern of application of sequential liquid or gaseous backwashes, and,
- (vi) effecting the backwash at the optimum time.

11. A method according to claim 10 and including the steps of implementing a chemical cleaning of the fibres after a series of backwashes and calculating from the rate of decrease of peak value of filtrate flow after each backwash

cycle and the amount of time and filtrate lost in chemical cleaning, the optimum time for shut down for chemical cleaning.

12. Apparatus for filtering a feedstock and for carrying out a predetermined frequency of liquid and/or gaseous backwashes of the filtering apparatus comprising:-

- (i) means for applying a feedstock to be filtered to the outside surface of porous hollow fibres contained in a shell or housing, and for withdrawing clarified liquid or filtrate from the lumens of the fibres,
- (ii) means for applying through the fibre lumens liquid and/or gaseous backwashes that pass through the walls of the fibres,
- (iii) means for measuring the rate of decrease in filtrate flow rate,
- (iv) means for calculating the optimal backwash frequency taking account of the rate of decrease in filtrate flow rate, loss of filtrate and time involved in a backwash cycle, and,
- (v) means for applying the calculated optimal backwash frequency to the fibres in the filter.

13. Apparatus for automatically applying a predetermined pattern of sequential liquid and gaseous backwashes whilst maintaining a dynamic membrane layer on the surface of the filter fibres, as described above said apparatus comprising:-

- (i) means for applying a feedstock to be filtered

to the outside surface of porous hollow fibres contained in a shell or housing and for withdrawing filtrate from the lumens of the fibres,

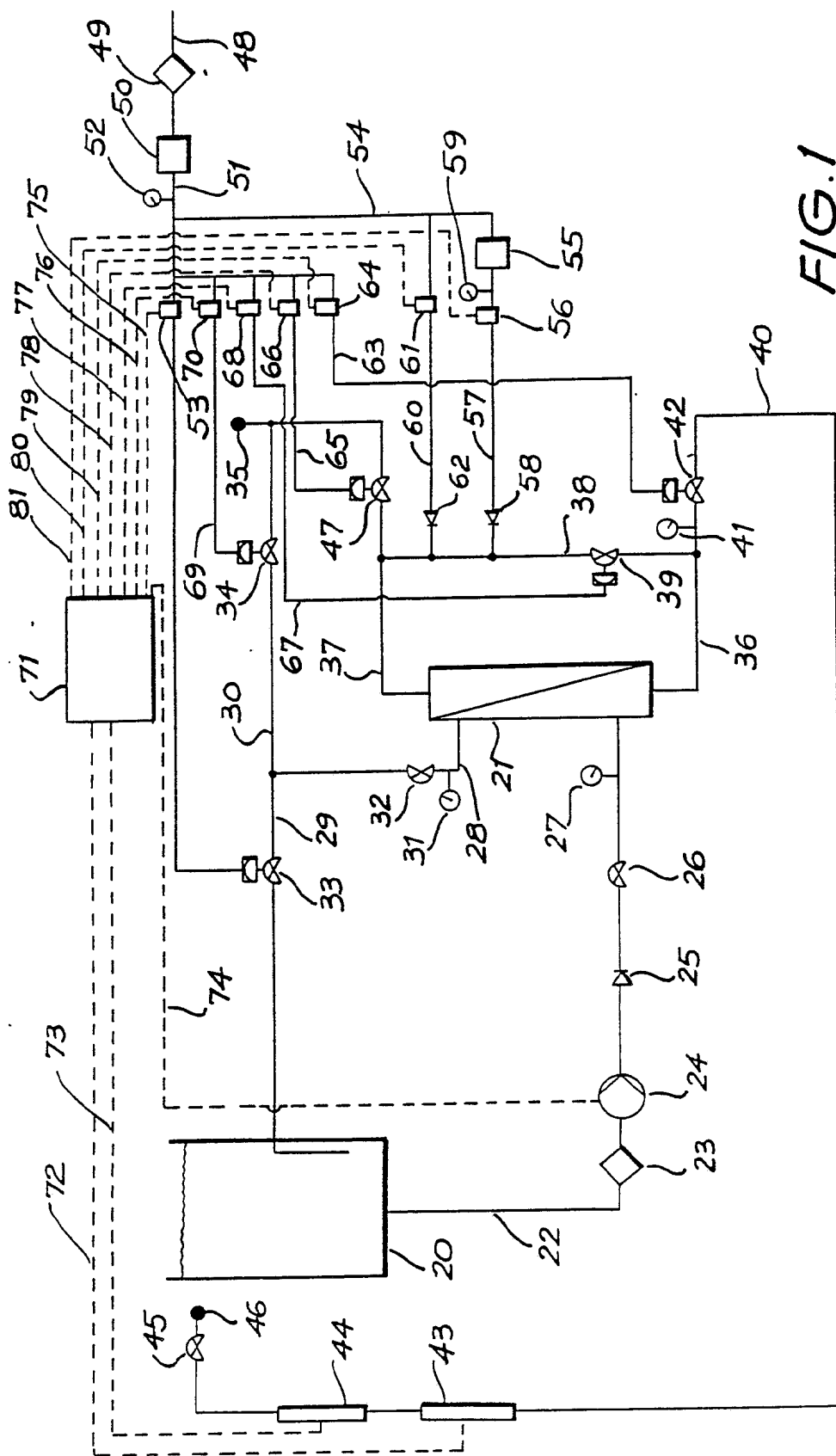
- (ii) means for applying through the fibre lumens liquid and gaseous backwashes that pass through the walls of the fibres,
- (iii) means for automatically applying the predetermined backwash pattern to the fibres in the filter,
- (iv) means for detecting the quality of the filtrate and redirecting it back to the feedstock if the quality is unacceptable,
- (v) means for measuring the rate of decrease in filtrate flow rate, or flux,
- (vi) means for calculating the optimal backwash frequency taking account of the loss of filtrate and time involved in a backwash cycle, and,
- (vii) means for applying the calculated optimal backwash frequency to the fibres in the filter.

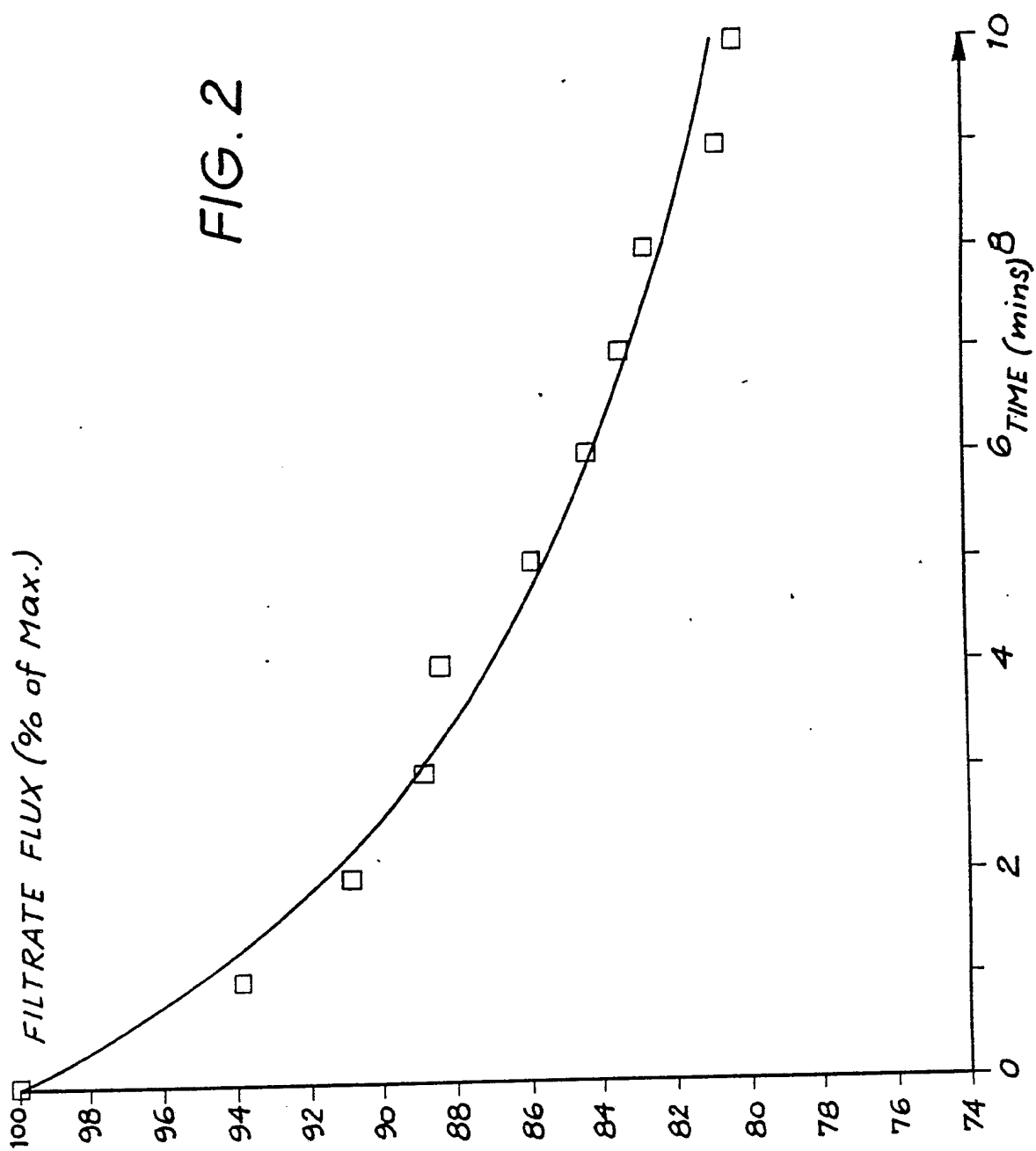
14. Apparatus for filtering a feedstock and for carrying out liquid and/or gaseous backwash cycles, said apparatus comprising:-

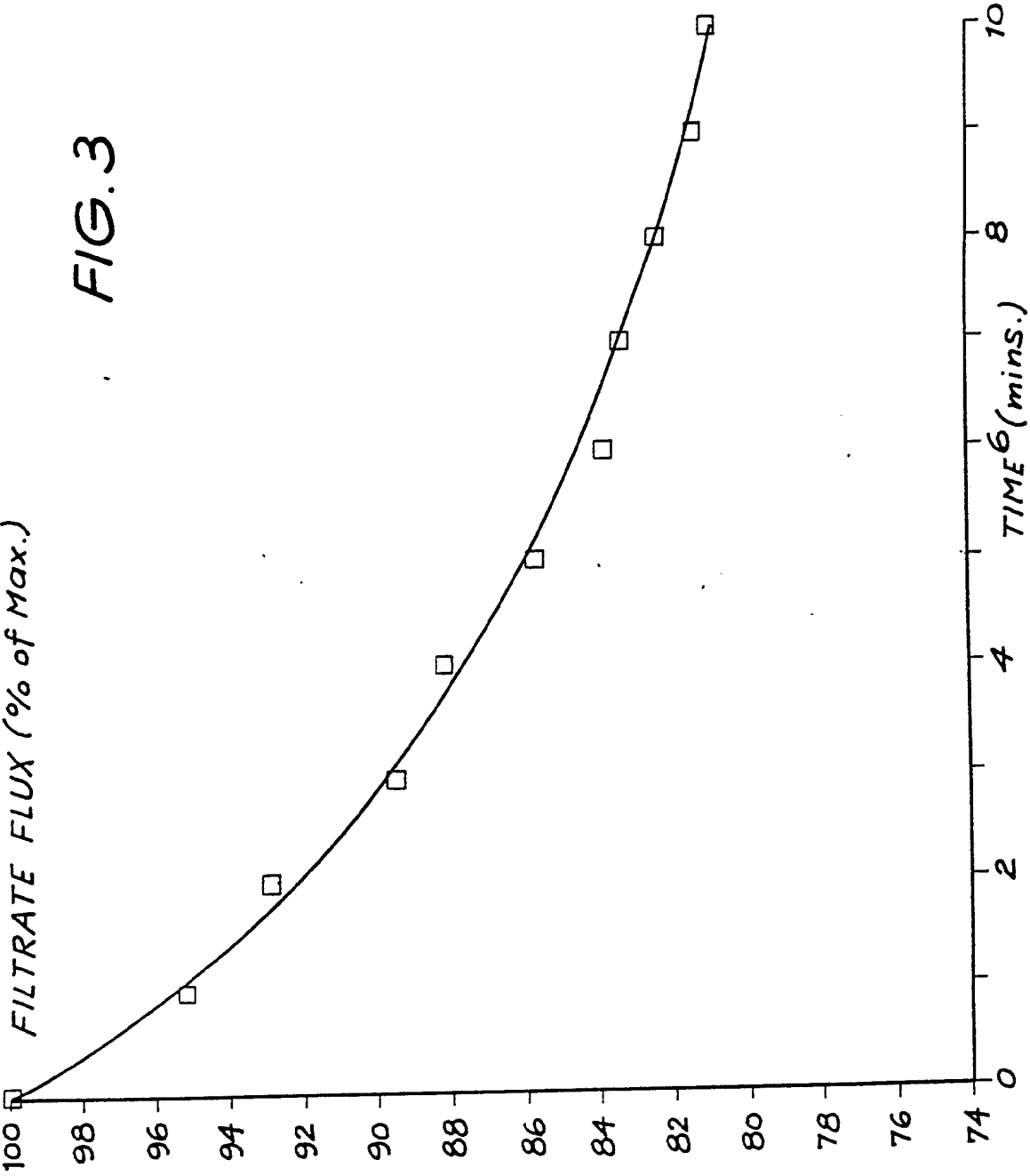
- (i) means for applying a feedstock to be filtered to the outside surface of porous hollow fibres contained in a shell or housing, and for withdrawing clarified liquid or filtrate from

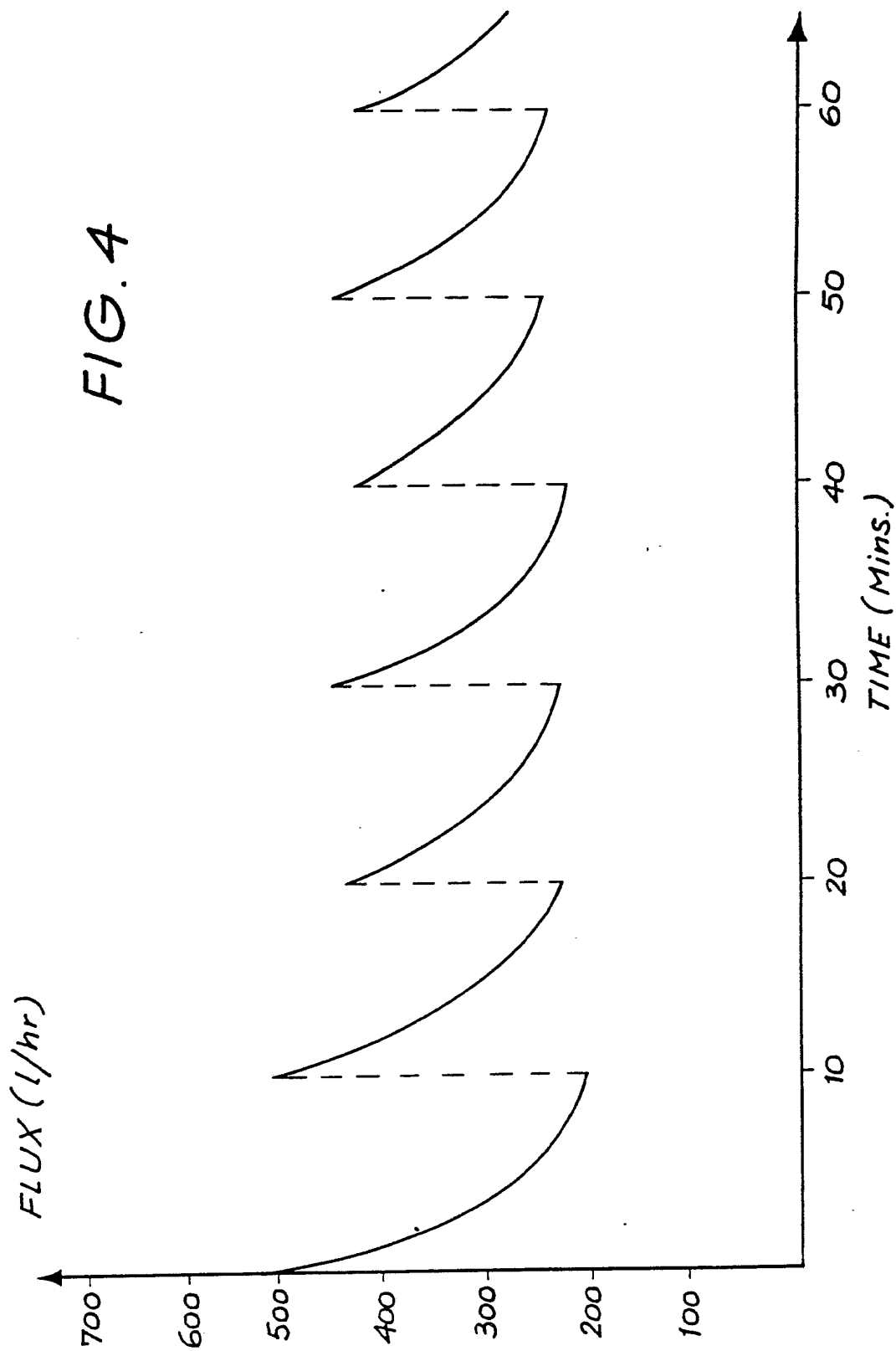
- the lumens of the fibres,
- (ii) means for applying through the fibre lumens liquid and/or gaseous backwashes that pass through the walls of the fibres,
  - (iii) means for determining the instantaneous filtrate flow rate at any point in time,
  - (iv) means for measuring the integrated filtrate flux over time since the last backwash or chemical cleaning cycle,
  - (v) means for applying a backwash cycle to the fibres when the integrated filtrate flux equals the instantaneous filtrate flux multiplied by the sum of the period of time elapsed since the last backwash plus the time taken for the backwash cycle.



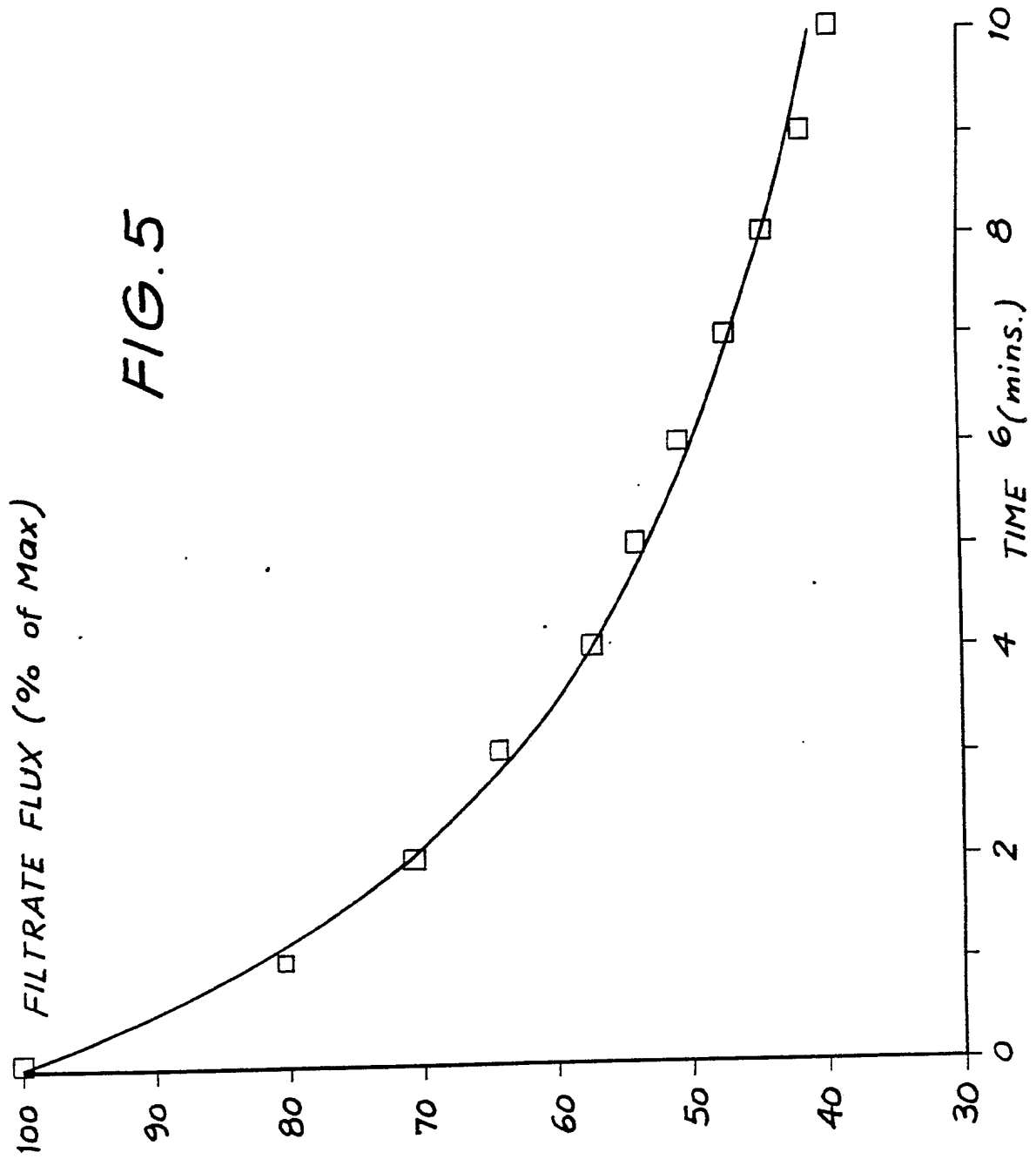




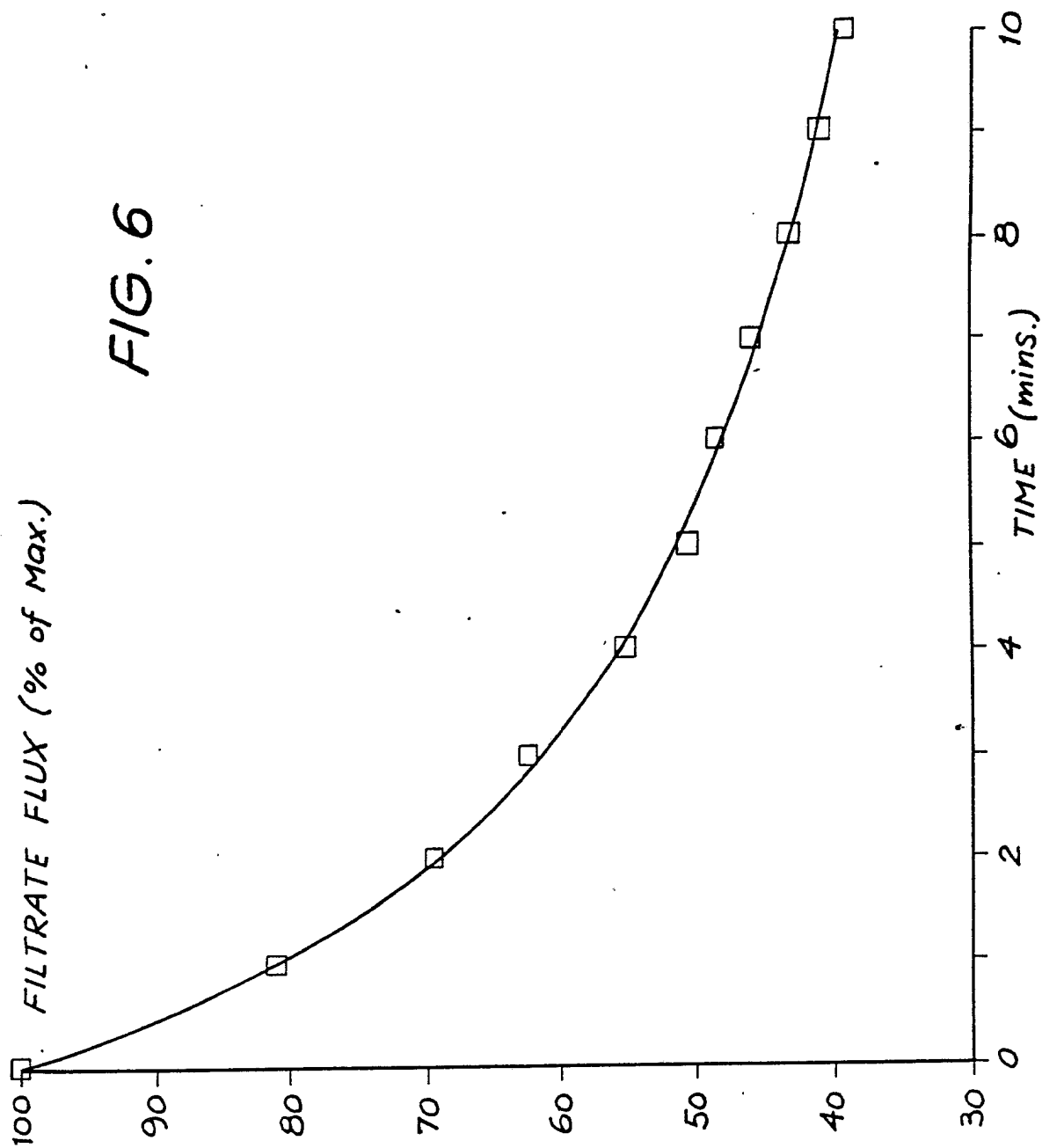




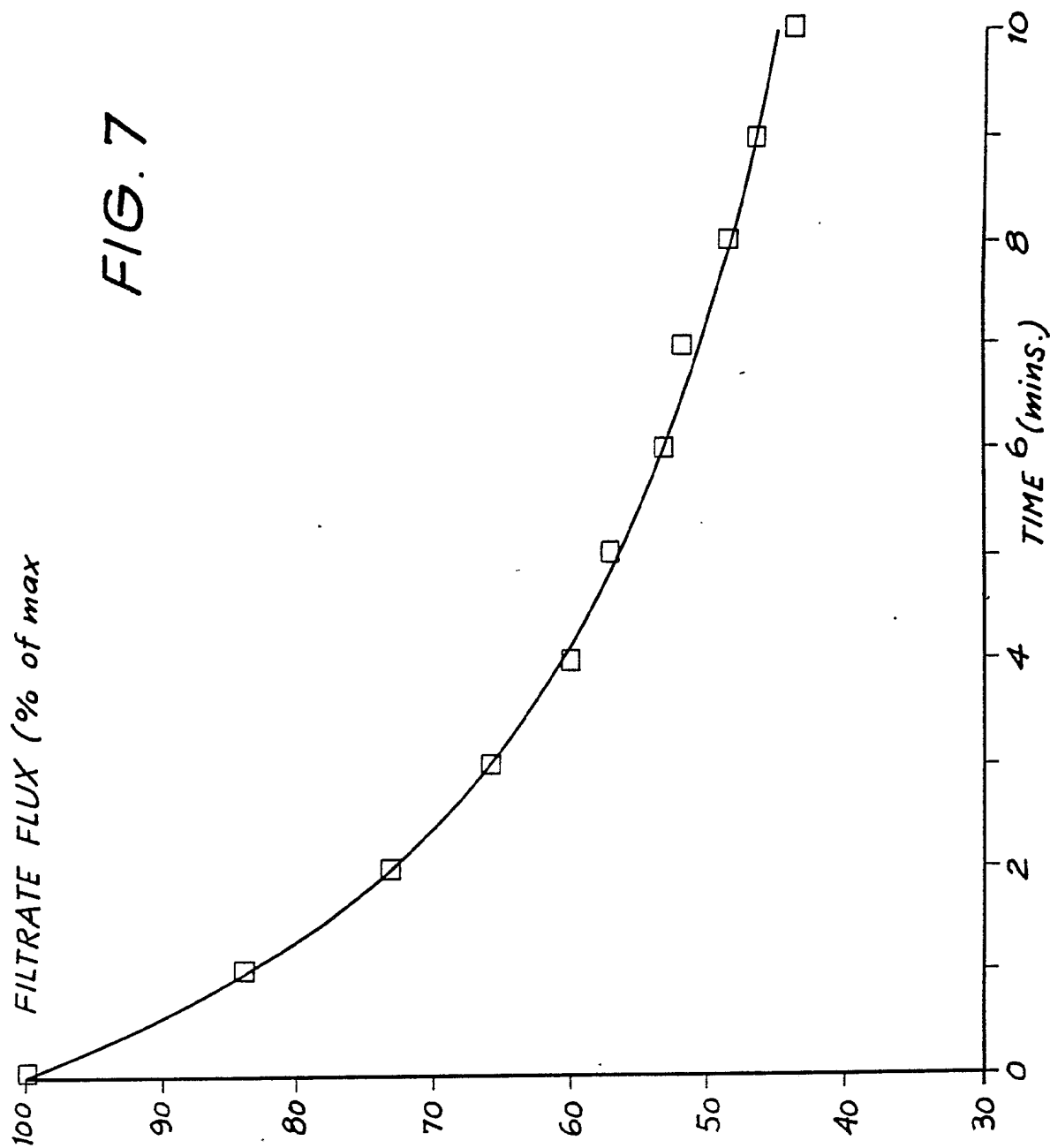
5/12



6/12

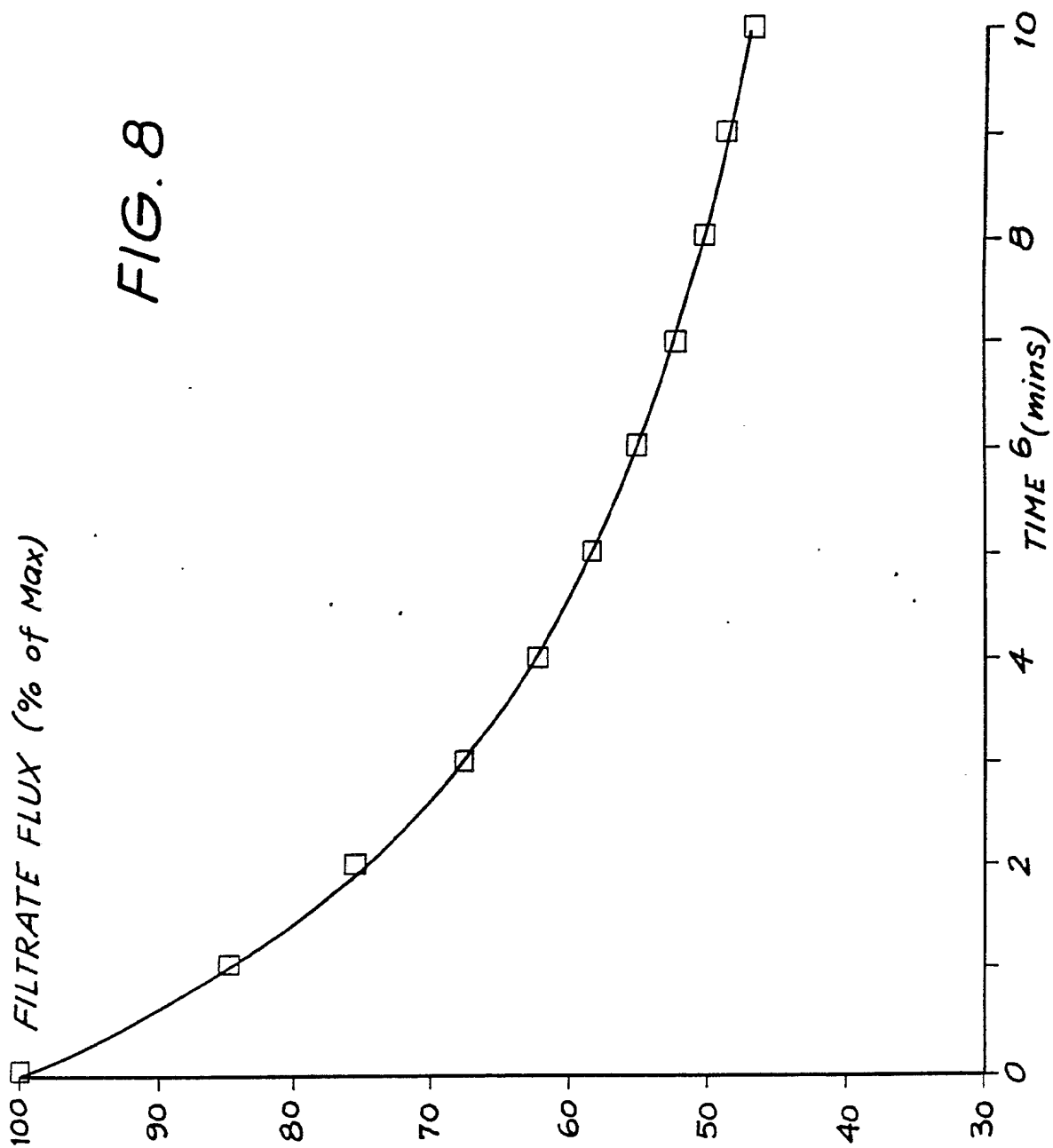


7/12



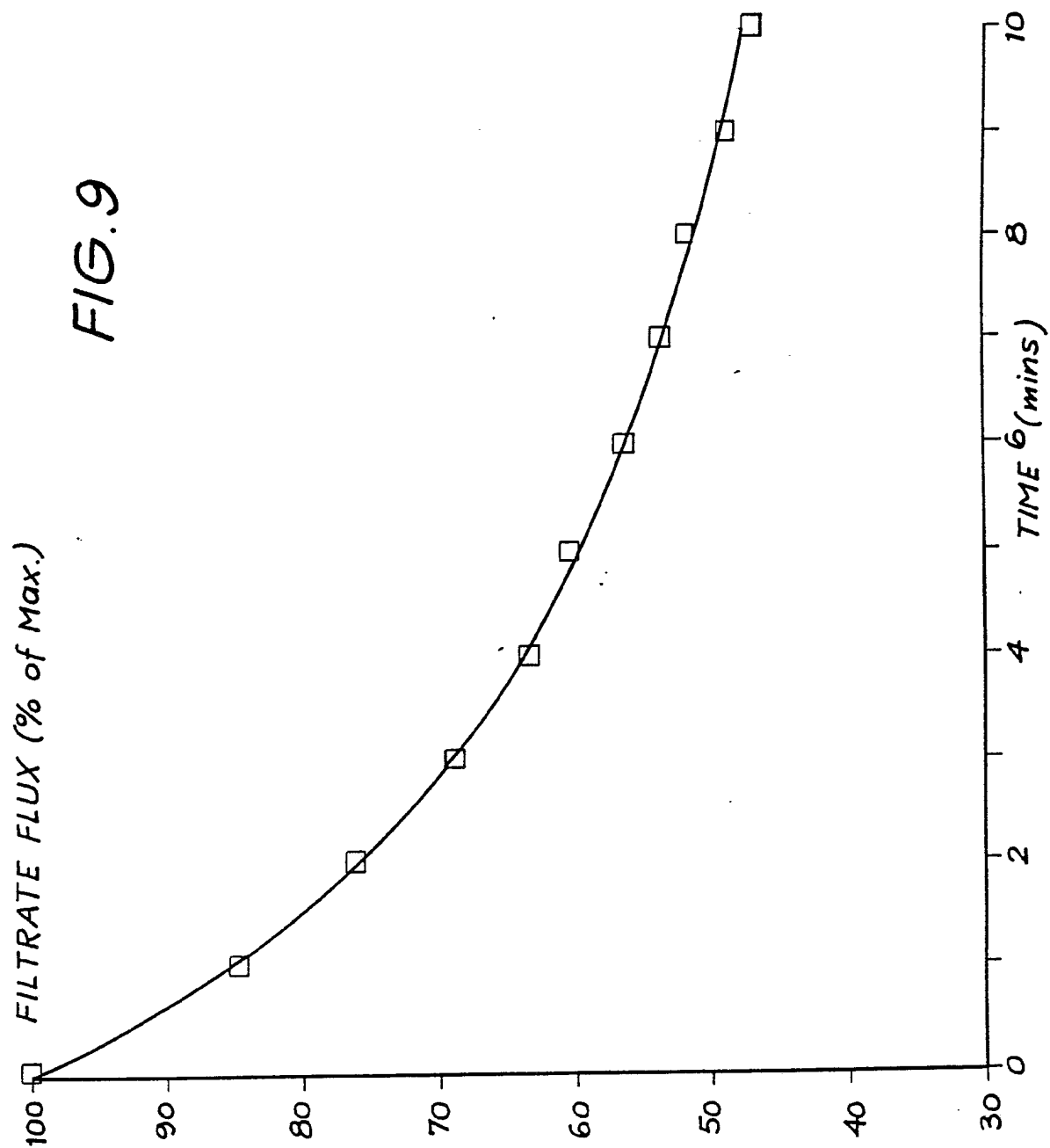
SUBSTITUTE SHEET

8/12



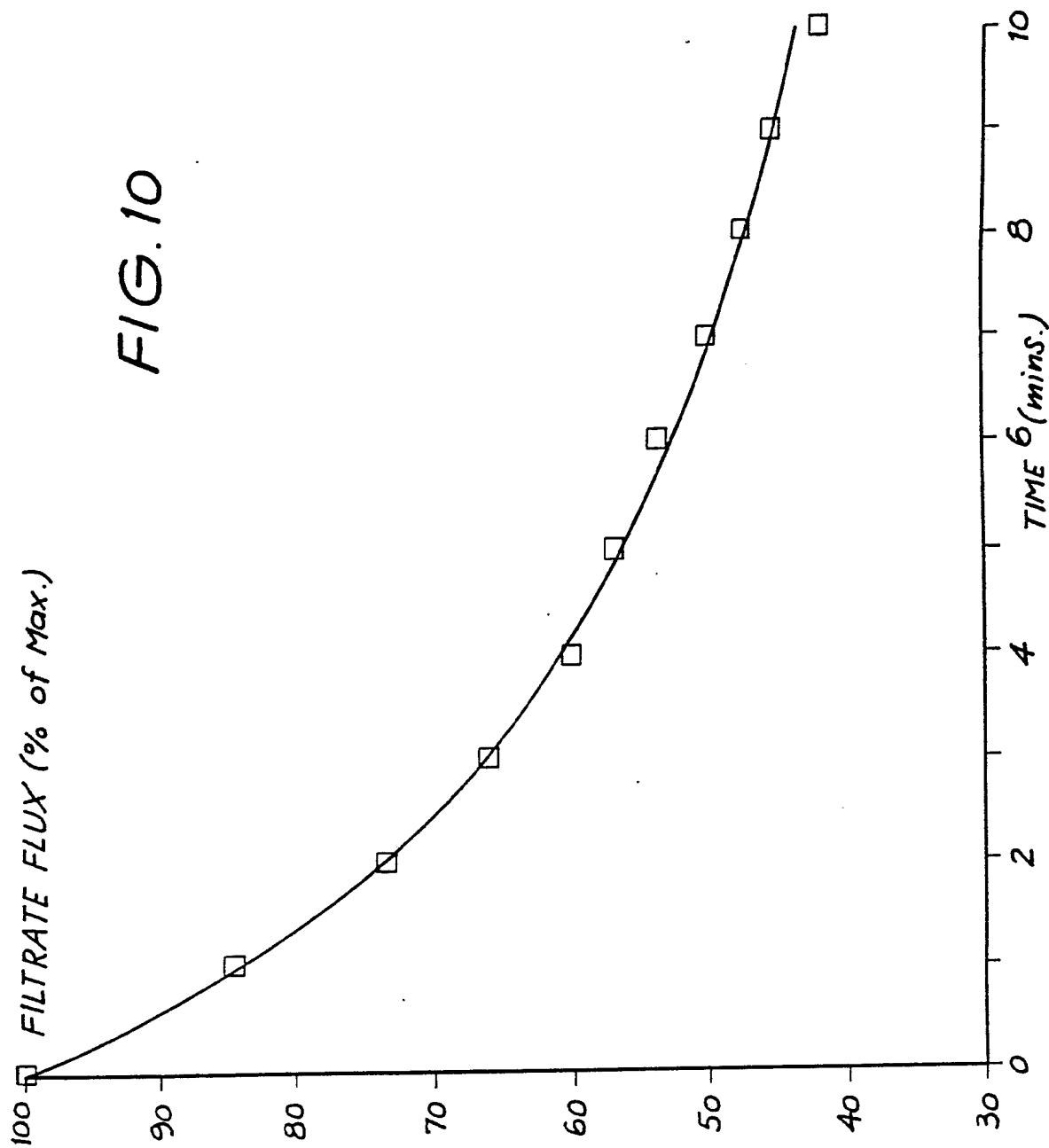


9/12



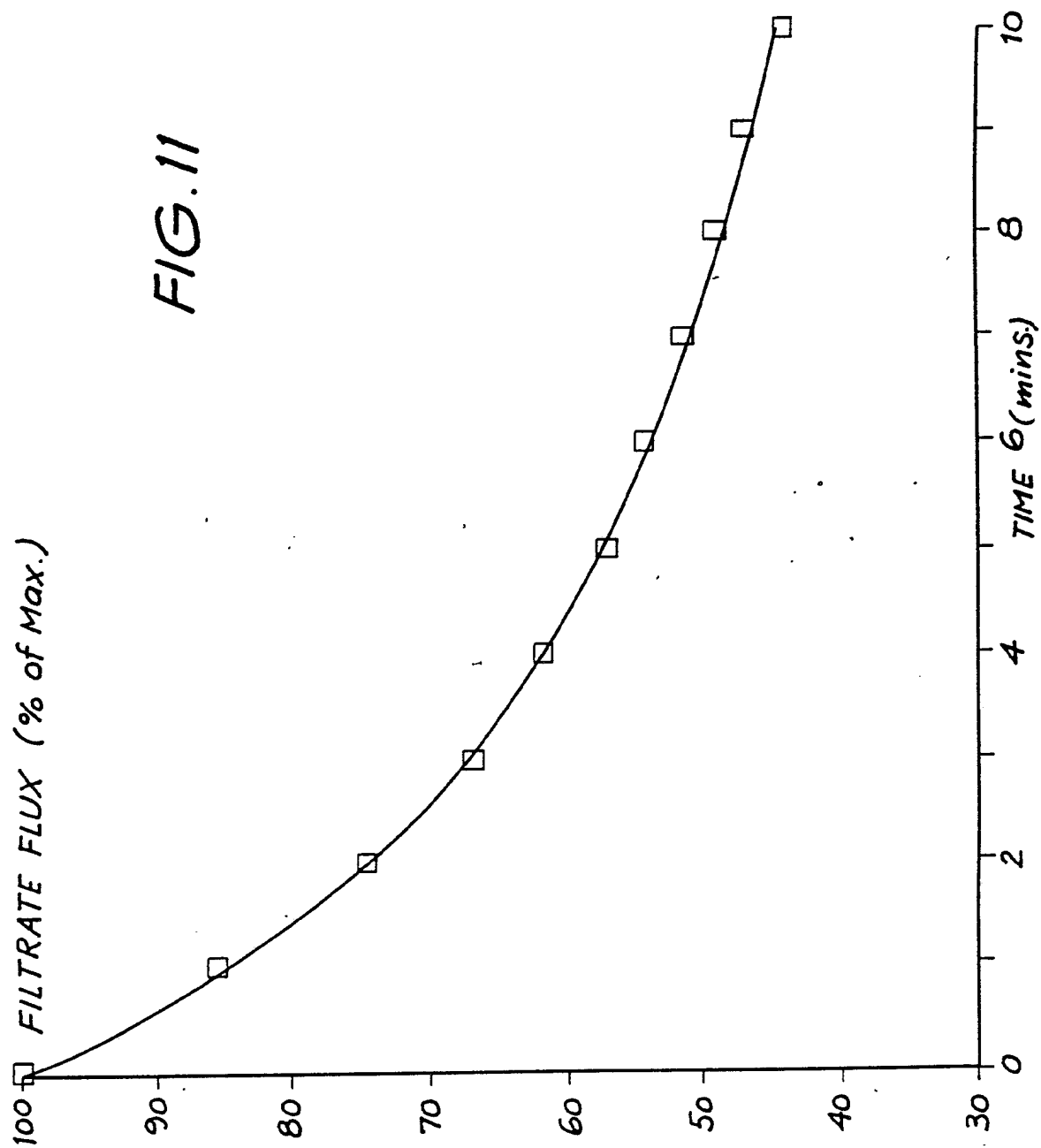
SUBSTITUTE SHEET

10/12



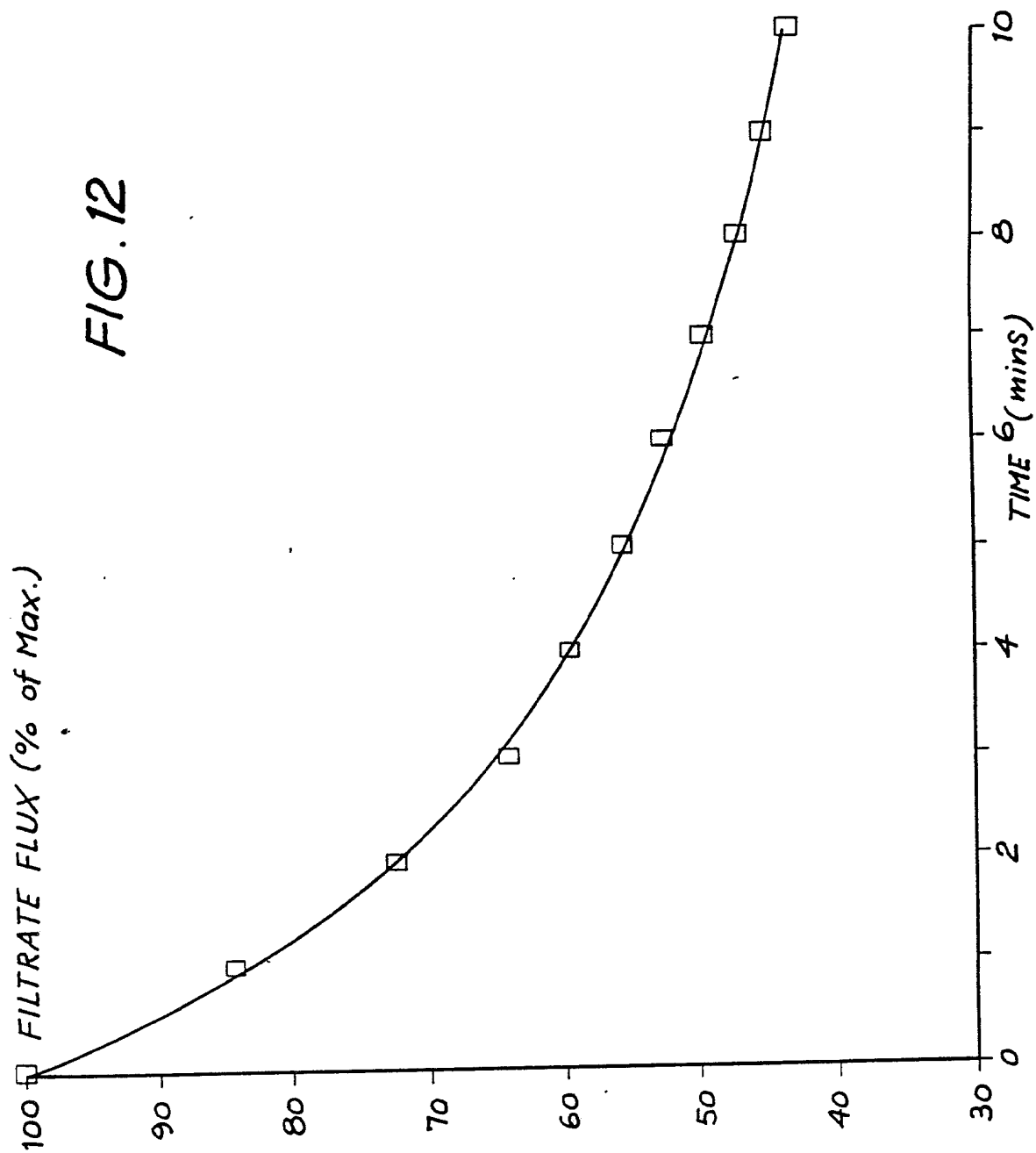
SUBSTITUTE SHEET

11/12



SUBSTITUTE SHEET

12/12



SUBSTITUTE SHEET

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/AU 87/00301

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>8</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int. Cl. <sup>4</sup> B01D 13/00, 13/01		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC	B01D 13/00, 13/01	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
AU : IPC as above		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	WO,A, 86/02858 (HEMASCIENCE LABORATORIES) 26 June 1986 (26.06.86)	(1-14)
A	AU,A, 45921/85 (HEINRICH FRINGS GmbH and CO.) 6 March 1986 (06.03.86)	(1-14)
A	US,A, 4332264 (NORMAN GORTZ) 1 June 1982 (01.06.82)	(1-14)
A	US,A, 4606826 (YOSHIHIKO SANO) 19 August 1986 (19.08.86)	(1-14)
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>14</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
26 October 1987 (26.10.87)	(06.11.87) 6 NOVEMBER 1987	
International Searching Authority	Signature of Authorized Officer	
Australian Patent Office	G. CARTER	

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON  
INTERNATIONAL APPLICATION NO. PCT/AU 87/00301

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Members					
WO	8602858	AU	50124/85	EP	203084	NZ	213638
AU	45921/85	AT	2780/84	BR	8504075	DE	3530943
		ES	546572	ES	8608913	FR	2571271
		GB	2164579	JP	61107903	US	4689153
		ZA	8506666				
US	4332264	AU	70799/81	DK	4823/81	EP	35405
		US	4444596	US	4444597	WO	8102534
		ZA	8101347				
US	4606826	JP	61119276				

END OF ANNEX